

## **APPENDIX I**

### **GLOSSARY OF TERMS AND ABBREVIATIONS**

AWB = Air Waybill

BXA = Bureau of Export Administration

CBW = Chemical and Biological Weapons

Chemical and Biological Weapons Activities = Any activity involved in design, development, production or use of chemical or biological weapons (Section 744.4 of the EAR) in or by a country listed in Country Group D:3 (Supplement No. 1 to Part 740 of the EAR).

CCL = Commerce Control List

Consignee = The foreign recipient of U.S. origin items who operates as a reseller and/or end user

Country Chart = A chart, found in Supplement No. 1 to Part 738 of the EAR, that contains certain licensing requirements based on destination and reason for control.

Country Groups = For export control purposes, foreign countries are separated into five country groups designated by the symbols A,B,C,D, and E (see Supplement No. 1 to Part 740 of the EAR for a complete list of countries in each Country Group).

CTP = Composite Theoretical Performance

Denied Persons List (DPL) = A list, referenced in Supplement No. 2 to Part 764 of the EAR, of specific persons that have been denied export privileges, in whole or in part. The full text of each order denying export privileges is published in the Federal Register. Formerly known as the Table of Denial Orders (TDO).

DOC = Department of Commerce

DRP = Diversion Risk Profile

EAB = Export Administration Bulletin

EAR = Export Administration Regulations

ECCN = Export Control Classification Number

EMS = Export Management System

End-User = A consignee that uses U.S. items "as is" (e.g., as capital equipment), or incorporates them as integral parts, components or materials in the production of primarily foreign origin commodities.

EPCI = Enhanced Proliferation Control Initiative

Exporter = That person who, as the principal party in interest in the export transaction, has the power and responsibility for determining and controlling the sending of the items out of the United States.

Items = Commodities, software, and technology.

Knowledge = Knowledge of a circumstance (the term may be a variant, such as know," "reason to know," or "reason to believe") includes not only positive knowledge that the circumstance exists or is substantially certain to occur, but also an awareness of a high probability of its existence or future occurrence. Such awareness is inferred from evidence of the conscious disregard of facts known to a person and is also inferred from a person's willful avoidance of facts.

License = Authority issued by the Bureau of Export Administration authorizing an export, reexport, or other regulated activity.

License Exception = An authorization described in Part 740 of the EAR that allows you to export or reexport, under stated conditions, items subject to the EAR that otherwise would require a license. Unless otherwise indicated, these License Exceptions are not applicable to exports under the licensing jurisdiction of agencies other than the Department of Commerce.

Missile Technology Activities = Any activity involved in design, development, production or use of missiles in or by a country listed in Country Group D:4 (see Supplement No. 1 to Part 740 of the EAR), whether or not that use involves a listed project (Section 744.3 of the EAR)

NLR = "No License Required". Applies to exports of items on the CCL not requiring a license according to the country chart or EAR99; provided no general prohibitions apply.

Nuclear Activities = Any nuclear activity as defined under Section 774.2 of the EAR

OEE = Office of Export Enforcement

OEXS = Office of Exporter Services

Original Equipment Manufacturer (OEM) = Firms which either make minor modifications or add value to purchased items before resale, or incorporate purchased items into a new end product. These firms are normally classified as resellers.

Reseller = A consignee that receives U.S. items for the purpose of resale to other parties. This includes reselling items in the form received, making modifications or adding value (e.g., software or peripheral devices) before reselling or reexporting items that are U.S. items, attaching a U.S. item in the same or essentially the same form as received to foreign equipment, etc.

SDN = Specially Designated Nationals - OFAC List

SDT = Specially Designated Terrorists - OFAC List

SED = Shipper's Export Declaration

SLCD = Special Licensing and Compliance Division, Office of Exporter Services, Bureau of Export Administration, U.S. Department of Commerce.

Special Comprehensive License = A special license authorizing export of eligible items to approved consignees or end customers in specified countries, without dollar value or quantity limits (Part 752 of the EAR)

Systems Integrator = A firm which purchases various components and accessories and integrates them into a unique system for resale. Virtually always classified as a reseller.

Trading Company/Firm = A U.S. firm which procures items from a multitude of suppliers and exports them.

See Part 772 of the EAR for additional definitions.